STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

IN THE MATTER OF: JOHN PATRICK BAMBER) FILE NO. 1200486

ORDER OF DISMISSAL

TO THE RESPONDENT.

John Patrick Bamber (CRD 2660922)

110 Glengarry

Bloomingdale, IL 60108

C/O AXA Advisors, LLC Atta: Director of Compliance

1290 Avenue Of the Americas 12th FL

New York, NY 10104

TO THE HEARING OFFICER:

George Berbas

180 N. LaSalle, Suite 3700

Chicago, IL 60601

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue:

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on February 6, 2013 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on February 6, 2013 against the Respondent in this matter is dismissed without prejudice.

Dated: This 2nd day of July 2013.

JESSE WHITE
Secretary of State

Maria A. Pavone
Enforcement Attorney
Illinois Securities Department
Office of Secretary of State
69 West Washington St.- Suite 1220
Chicago, IL 60602
Telephone: 312.793.3022